

Express Mail Label No. EL924885218US

PATENT APPLICATION
Docket No. 13676.142

#16.01
12-26-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

Richard L. Bonkowski et al.

Serial No.: 09/351,102

Filed: July 8, 1999

For: DIFFRACTIVE SURFACES WITH COLOR
SHIFTING BACKGROUNDS

Examiner: Alicia Chevalier

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) Art Unit
) 1772
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

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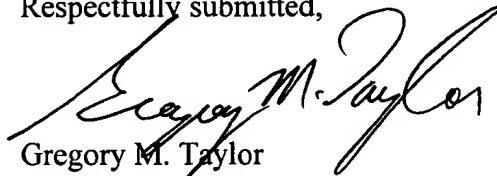
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which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 14th day of December 2001.

Respectfully submitted,



Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263

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CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the 14th day of December 2001.

- Amendment and Response
- Petition for One-Month Extension of Time
- Supplemental Information Disclosure Statement
- PTO Form-1449 listing one (1) reference
- Copy of one (1) reference
- Credit Card Payment Form PTO-2038 for \$290.00
- Transmittal Letter
- Postcard

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Respectfully submitted,

Gregory M. Taylor
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